PATENT APPLICATION Docket No.: 1440.1042-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jeffrey S. Flier and Christian Bjorbaek

Application No.:

10/040,277

Group:

1623

Filed:

October 22, 2001

Examiner: Not Assigned

For:

METHODS AND COMPOSITIONS FOR MODULATING

CILIARY NEUROTROPHIC FACTOR ACTIVITY

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Box Sequence, P.O. Box 2327, Arlington, VA 22202

on 5-22-02

Signature

Date

HOLLIE WAKEFIELD

Typed or printed name of person signing certificate

TRANSMITTAL OF SEQUENCE LISTING AND PRELIMINARY AMENDMENT IN REPLY TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents Box Sequence, P.O. Box 2327 Arlington, VA 22202

Sir:

This paper is submitted in response to the Notice to File Missing Parts of Application, mailed from the Patent Office on March 22, 2002. A copy of the Notice is attached.

Transmitted herewith is a copy of the "Sequence Listing" (sheets 1/3 through 3/3) in paper form for the above-identified patent application as required by 37 C.F.R. §1.821(c) and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicant's Agent hereby states that the content of the "Sequence Listing" in paper form and the computer

readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Applicant's Agent submits the following amendments to comply with 37 C.F.R. §1.825:

In the Specification

Please insert the attached "Sequence Listing" (sheets 1/3 through 3/3), and comprising SEQ ID NOS: 1 through 10, into the above-referenced application.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Concord, MA 01742-9133 Date: May 22, 2002